

Quarterly Budget and Expenditure Reporting under CARES Act Sections 18004(a)(1) Institutional Portion, 18004(a)(2), and 18004(a)(3), if applicable

Institution Name: Alabama College of Osteopathic Medicine _____ **Date of Report:** 10/28/2020 **Covering Quarter Ending:** 3

Total Amount of Funds Awarded: Section (a)(1) Institutional Portion: 93,402 Section (a)(2): 0.00 Section (a)(3): 313,195 **Final Report?**

Category	Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable	Explanatory Notes
Providing additional emergency financial aid grants to students. ¹	43,272.00	N/A	0.00	(a)(1) Emergency Financial Aid Grants issued to students 3 rd Qtr (a)(2) Not Applicable (a)(3) Will use state funding before utilizing Federal Cares Act funds
Providing reimbursements for tuition, housing, room and board, or other fee refunds.	0.00	N/A	0.00	No assistance to reimburse students for tuition, housing, room & board or other fees due to COVID 19.
Providing tuition discounts.		N/A	0.00	No tuition discounts processed due to COVID 19
Covering the cost of providing additional technology hardware to students, such as laptops or tablets, or covering the added cost of technology fees.	0.00	N/A	0.00	No additional technology charges to students due to COVID 19 for quarter reporting.
Providing or subsidizing the costs of high-speed internet to students or faculty to transition to an online environment.	0.00	N/A	0.00	No funding for high-speed internet to students or faculty at the present time.
Subsidizing off-campus housing costs due to dormitory closures or decisions to limit housing to one student per room; subsidizing housing costs to reduce housing density; paying for hotels or other off-campus housing for students who need to be isolated; paying travel expenses for students who need to leave campus early due to coronavirus infections or campus interruptions.	0.00	N/A	0.00	No dormitory closures or limited housing due to COVID 19
Subsidizing food service to reduce density in eating facilities, to provide pre-packaged meals, or to add hours to food service operations to accommodate social distancing.	0.00	N/A	0.00	No food service subsidizing due to COVID 19 for quarter reporting.

¹ To support any element of the cost of attendance (as defined under Section 472 of the Higher Education Act of 1965, as amended (HEA)) per Section 18004(c) of the CARES Act and the [Interim Final Rule](#) published in the *Federal Register* on June 17, 2020 (85 FR 36494). Community Colleges in California, all public institutions in Washington State, and all institutions in Massachusetts have different requirements due to recent U.S. District Court actions. [HEERF litigation updates can be found here.](#)

Category	Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable	Explanatory Notes
Costs related to operating additional class sections to enable social distancing, such as those for hiring more instructors and increasing campus hours of operations.	0.00	N/A	0.00	Costs related to operating additional class sections due to social distances will be applied to state funding for reporting quarter.
Campus safety and operations. ²	0.00	NA		Costs related to Campus Safety & Operations due to COVID 19 will be applied to state funding for the reporting quarter.
Purchasing, leasing, or renting additional instructional equipment and supplies (such as laboratory equipment or computers) to reduce the number of students sharing equipment or supplies during a single class period and to provide time for disinfection between uses.	0.00	NA		Costs related to purchasing, leasing, renting additional equipment or computers to reduce the number of students sharing equipment due to COVID 19 will be applied to state funding for the reporting quarter.
Replacing lost revenue due to reduced enrollment.				No reported lost revenue due to COVID 19 through the reporting quarter.
Replacing lost revenue from non-tuition sources (i.e., cancelled ancillary events; disruption of food service, dorms, childcare or other facilities; cancellation of use of campus venues by other organizations, lost parking revenue, etc.). ³				No reported lost revenue due to COVID 19 through the reporting quarter.
Purchasing faculty and staff training in online instruction; or paying additional funds to staff who are providing training in addition to their regular job responsibilities.	0.00			No additional funding for online instruction or additional funds paid to staff providing training in addition to regular responsibilities for the reporting quarter.
Purchasing, leasing, or renting additional equipment or software to enable distance learning, or upgrading campus wi-fi access or extending open networks to parking lots or public spaces, etc.	0.00			Purchasing, leasing, or renting additional equipment or software to enable distance learning, or upgrading campus

² Including costs or expenses related to the disinfecting and cleaning of dorms and other campus facilities, purchases of personal protective equipment (PPE), purchases of cleaning supplies, adding personnel to increase the frequency of cleaning, the reconfiguration of facilities to promote social distancing, etc.

³ Including continuance of pay (salary and benefits) to workers who would otherwise support the work or activities of ancillary enterprises (e.g., bookstore workers, foodservice workers, venue staff, etc.).

Category	Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable	Explanatory Notes
				wi-fi access will be applied to state funding for the reporting quarter.
Other Uses of (a)(1) Institutional Portion funds. ⁴	0.00			In the process of reviewing additional items needed to assist with distance learning, social distances, cleaning supplies, thermometers and PPE as we head into possible second wave.
Other Uses of (a)(2) or (a)(3) funds, if applicable. ⁵		0.00	0.00	NA
Quarterly Expenditures for each Program	43,272.00	NA	0.00	
Total of Quarterly Expenditures				

Form Instructions

Completing the Form: On each form, fill out the institution of higher education (IHE or institution) name, the date of the report, the appropriate quarter the report covers (September 30, December 31, March 31, June 30), the total amount of funds awarded by the Department (including reserve funds if awarded), and check the box if the report is a “final report.” In the chart, an institution must specify the amount of expended CARES Act funds for each funding category: Sections 18004(a)(1) Institutional Portion, 18004(a)(2), and 18004(a)(3), if applicable. Section 18004(a)(2) funds includes CFDA 84.425J (Historically Black Colleges and Universities (HBCUs)), 84.425K (Tribally Controlled Colleges and Universities (TCCUs)), 84.425L (Minority Serving Institutions (MSIs)), 84.425M (Strengthening Institutions Program (SIP)); Section 18004(a)(3) funds are for CFDA 84.425N (Fund for the Improvement of Postsecondary Education (FIPSE) Formula Grant). Each category is deliberately broad and may not capture specific grant program requirements. Explanatory footnotes help clarify certain reporting categories. While some items in the chart are blocked out, please note that the blocking of such items is consistent with Department guidance and FAQs and is not definitive. Provide brief explanatory notes for how funds were expended, including the title and brief description of each project or activity in

⁴ Please post additional documentation as appropriate and briefly explain in the “Explanatory Notes” section. Please note that costs for Section 18004(a)(1) Institutional Portion funds may only be used “to cover any costs associated with significant changes to the delivery of instruction due to the coronavirus, so long as such costs do not include payment to contractors for the provision of pre-enrollment recruitment activities; endowments; or capital outlays associated with facilities related to athletics, sectarian instruction, or religious worship.”

⁵ Please post additional documentation as appropriate and briefly explain in the “Explanatory Notes” section. Please note that costs for Sections 18004(a)(2) and (a)(3) funds may only be used “to defray expenses, including lost revenue, reimbursement for expenses already incurred, technology costs associated with a transition to distance education, faculty and staff trainings, payroll incurred by institutions of higher education and for grants to students for any component of the student’s cost of attendance (as defined under section 472 of the HEA), including food, housing, course materials, technology, health care, and child care.”

which funds were expended. Do not include personally identifiable information (PII). Calculate the amount of the Section 18004(a)(1) Institutional Portion (referred to as “(a)(1) institutional” in the chart), Section 18004(a)(2) (referred to as “(a)(2)” in the chart), and Section 18004(a)(3) (referred to as “(a)(3)” in the chart) funds in the “Quarterly Expenditures for each Program” row, and the grand total of all three in the “Total of Quarterly Expenditures” row. Round expenditures to the nearest dollar.

Posting the Form: This form must be conspicuously posted on the institution’s primary website on the same page the reports of the IHE’s activities as to the emergency financial aid grants to students made with funds from the IHE’s allocation under Section 18004(a)(1) of the CARES Act (Student Aid Portion) are posted. It may be posted in an HTML webpage format or as a link to a PDF. A new separate form must be posted covering each quarterly reporting period (September 30, December 31, March 31, June 30), concluding after either (1) posting the quarterly report ending September 30, 2022 or (2) when an institution has expended and liquidated all (a)(1) Institutional Portion, (a)(2), and (a)(3) funds and checks the “final report” box. IHEs must post this quarterly report form no later than 10 days after the end of each calendar quarter (October 10, January 10, April 10, July 10) apart from the first report, which is due October 30, 2020. For the first report using this form, institutions must provide their cumulative expenditures from the date of their first HEERF award through September 30, 2020. Each quarterly report must be separately maintained on an IHE’s website or in a PDF document linked directly from the IHE’s CARES Act reporting webpage. Reports must be maintained for at least three years after the submission of the final report per 2 CFR § 200.333. Any changes or updates after initial posting must be conspicuously noted after initial posting and the date of the change must be noted in the “Date of Report” line.

Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995 (PRA), no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1840-0849. Public reporting burden for this collection of information is estimated to average 2 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Under the PRA, participants are required to respond to this collection to obtain or retain benefit. If you have any comments concerning the accuracy of the time estimate or suggestions for improving this individual collection, or if you have comments or concerns regarding the status of your individual form, application, or survey, please contact: Jack Cox, U.S. Department of Education, 400 Maryland Avenue, SW, Washington, DC 20202.

CARES Act: Fund Report

Section 18004(a)(1) Institutional funds

as of 09/30/2020

1. The Alabama College of Osteopathic Medicine (ACOM) signed and returned to the U.S. Department of Education the Recipient's Funding Certification and Agreement Emergency Financial Aid Grants to Students under the Coronavirus Aid, Relief, and Economic Security (CARES) Act and the Recipient's Funding Certification and Agreement for the Institutional Portion of the Higher Education Emergency Relief Fund Formula Grants Authorized by Section 18004(a)(1) of the Coronavirus Aid, Relief, and Economic Security (CARES) Act. The institution intends to use no less than 50 percent of the funds received under Section 18004(a)(1) of the CARES Act to provide Emergency Financial Aid Grants to students.
2. The total amount of funds that the institution will receive or has received from the Department pursuant to the Recipient's Funding Certification and Agreement Emergency Financial Aid Grants to Students under the Coronavirus Aid, Relief, and Economic Security (CARES) Act is \$93,403. The total amount of funds that the institution has received from the Department pursuant to the Recipient's Funding Certification and Agreement for the Institutional Portion of the Higher Education Emergency Relief Fund Formula Grants Authorized by Section 18004(a)(1) of the Coronavirus Aid, Relief, and Economic Security (CARES) Act is \$93,402.
3. As of September 30, 2020, the total amount of Emergency Financial Aid Grants distributed to students under Section 18004(a)(1) of the CARES Act is \$136,675, \$43,272 of which was drawn from the funds under Section 18004(a)(1) Institutional funds.
4. The estimated number of students at ACOM who are eligible to receive Emergency Financial Aid Grants under Section 18004(a)(1) of the CARES Act is 664.
5. As of September 30, 2020, the total number of students who have received an Emergency Financial Aid Grant under Section 18004(a)(1) of the CARES Act is 497.
6. The institution elected to use 100% of the funding received under Section 18004(a)(1) of the CARES Act to provide Emergency Financial Aid Grants to students. The funds were initially made available in equal portions to all students who were enrolled during the 2020 Spring Term and incurred expenses related to the disruption of campus operations due to coronavirus (including eligible expenses under the student cost of attendance such as food, housing, course materials, technology, health care, and child care). Eligible students may elect to decline funding, and the declined funding will be distributed equally among eligible students who request funding.
7. Guidance concerning the Emergency Financial Aid Grants was provided to eligible students by the institution in an announcement issued on 06/19/2020. The announcement included the following statement:

CARES ACT- Emergency Financial Aid Grants to Students

Under the federal CARES Act as described below, each student enrolled at ACOM during the 2020 Spring semester may receive approximately \$275 to help defray student expenses related to the disruption of ACOM campus and curriculum operations due to coronavirus.

To request or decline funding, students must sign and submit the attached Attestation Form to studentaccounts@acom.edu. Declined funds will be distributed equally among eligible students who request funding.

As outlined below, ACOM will receive a total of \$186,805 through Section 18004(a)(1) of the CARES Act. Under the Act, at least 50 percent of this funding is required to be used to provide emergency financial aid grants to students. In order to provide the maximum benefit to ACOM students, ACOM's administration has elected to grant 100 percent of the \$186,805 to its eligible students in equal distributions, i.e. approximately \$275 per eligible student.

Background: The Coronavirus Aid, Relief, and Economic Security Act (CARES Act) was a bill signed into law on March 27, 2020, designed to provide financial support and resources to individuals and businesses affected by the COVID-19 pandemic. The \$2 trillion stimulus bill was the largest stimulus bill in U.S. history and Congress' third major piece of legislation to address the COVID-19 crisis.

The CARES Act created an Education Stabilization Fund with the purpose to prevent, prepare for and respond to coronavirus, domestically or internationally. The CARES Act provides several different methods for distributing roughly \$14 billion in funds to institutions of higher education. The most significant portion of that funding allocation provides that \$12.56 billion will be distributed to institutions using a formula based on student enrollment. Based on this formula, ACOM will receive \$186,805 through Section 18004(a)(1) of the CARES Act.

The CARES Act directs institutions of higher education to use at least 50 percent of funds received under Section 18004(a)(1) to provide emergency financial aid grants to students for expenses related to the disruption of campus operations due to coronavirus (including eligible expenses under a student's cost of attendance, such as food, housing, course materials, technology, health care, and child care).

Students who are or could be eligible to participate in programs under Section 484 in Title IV of the Higher Education Act of 1965 may receive emergency financial aid grants. The criteria to participate in programs under Section 484 of the HEA include but are not limited to the following: U.S. citizenship or eligible noncitizen; a valid Social Security number; registration with Selective Service (if the student is male); and a high school diploma, GED, or completion of high school in an approved homeschool setting. Financial aid grant eligibility is limited to Title IV-eligible students who were enrolled during the time of coronavirus-related disruptions to campus operations. Based upon our interpretation of U.S. Department of Education rules and guidance pertaining to student eligibility, we have determined that all ACOM students who were enrolled during the Spring 2020 Term may be eligible to receive these grant funds.

